

P23771.P01

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

P23771

Total Pages

Inventor(s) or Application Identifier

Hiroyuki OSADA and Siro SIMIZU

Title: MUTANT PLK PROTEIN AND GENE ENCODING
THE SAME

ADDRESS TO:

Commissioner for Patents
Mail Stop Patent Application
PO Box 1450
Alexandria, VA 22313-1450

15535 U.S. PRO
10/627616
07/28/03

APPLICATION ELEMENTS	ACCOMPANYING APPLICATION PARTS
1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)	9. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input checked="" type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	10. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))
a. <input type="checkbox"/> Small Entity Statement(s)	11. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)
3. <input checked="" type="checkbox"/> Specification [Total Pages 1] (preferred arrangement set forth below) <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 	12. <input type="checkbox"/> English Translation Document (if applicable)
4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 4]	13. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations
5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages 3] a. <input type="checkbox"/> Newly executed (original or copy) <input type="checkbox"/> Unexecuted b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 20 completed) <u>[Note Box 6 below]</u> <ul style="list-style-type: none"> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 	14. <input type="checkbox"/> Preliminary Amendment
6. <input checked="" type="checkbox"/> Incorporation By Reference (useable if Box 5b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	15. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
7. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	16. <input checked="" type="checkbox"/> Figure of Drawing to be published 4a
8. <input type="checkbox"/> CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)	17. <input checked="" type="checkbox"/> Foreign priority claimed <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> Claim of Priority b. <input type="checkbox"/> Certified Copy of Priority Document(s)
20. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior Application No. 09/866,590, filed May 30, 2001. <i>Prior application information: Examiner: _____ Group Art Unit: _____</i>	18. <input checked="" type="checkbox"/> Assignee: RIKEN of Saitama, Japan
21. <input type="checkbox"/> Amend the specification by inserting before the first line the sentence: <i>This application is a _____ continuation-in-part, _____ continuation, _____ divisional, of Application No. _____ / _____, filed _____.</i>	19. <input checked="" type="checkbox"/> Other: Request for Transfer of Computer Readable Form <hr/> <hr/> <hr/>

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Signature

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Bruce H. Bernstein, Reg. No. 29,027

Typed or Printed Name

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 07/28/03

FEE TRANSMITTAL

TOTAL AMOUNT OF PAYMENT (\$1,002.00)

Complete if Known

Application Number	Not Yet Assigned
Filing Date	Concurrently Herewith
First Named Inventor	
Group Art Unit	Unassigned
Examiner Name	Unassigned

Attorney Docket Number

METHOD OF PAYMENT (check one)

FEE CALCULATION (continued)

1. The U.S. Patent and Trademark Office is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 19-0089
 Deposit Account Name GREENBLUM & BERNSTEIN, P.L.C.
 Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136(a)(3)) Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b) Applicant Claims Small Entity Status See 37 CFR 1.27.

2. Payment Enclosed:
 Check Credit Card Money Order Other

FEE CALCULATION (fees effective 01/01/03)

1. FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1001	750	2001	375
1002	330	2002	165
1003	520	2003	260
1004	750	2004	375
1005	160	2005	80
SUBTOTAL (1)		(\$)	750.00

2. CLAIMS

Total Claims	-20=	Extra	Fee from below	Fee Paid
14		0	x 18	0
Independent 6	-3=	3	x 84	252.00

Claims

Multiple Dependent Claims 0 x 280 = 0

Large Entity Fee Code	Small Entity Fee Code	Fee Description
1202	18	2202
1201	84	2201
1203	280	2203
1204	84	2204
1205	18	2205
SUBTOTAL (2)		(\$) 1,002.00

3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1051	130	2051	65
1052	50	2052	25
1053	130	1053	130
1812	2,520	1812	2,520
1804	920*	1804	920*
1805	1,840*	1805	1,840*
1251	110	2251	55
1252	410	2252	205
1253	930	2253	465
1254	1,450	2254	725
1255	1,970	2255	985
1401	320	2401	160
1402	320	2402	160
1403	280	2403	140
1451	1,510	1451	1,510
1452	110	2452	55
1453	1,300	2453	650
1501	1,300	2501	650
1502	470	2502	235
1503	630	2503	315
1460	130	1460	130
1807	50	1807	50
1806	180	1806	180
8021	40	8021	40
1809	750	2809	375
1810	750	2810	375
1801	750	2801	375
1802	900	1802	900
Other fee (specify)			
Other fee (specify)			
			SUBTOTAL (3) (\$) 0.00

*Reduced by Basic Filing Fee paid

SUBMITTED BY

Complete (if applicable)

Typed or Printed Name	Bruce H. Bernstein	<i>Bruce H. Bernstein</i>	Reg. Number	29,027
Signature		<i>John T. Turner</i>	Date	7/28/03

Deposit Account User ID